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Attorney Docket No. 3053-040

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Lewis S. Ostrover

Serial No.

09/921,044

Examiner

NGUYEN, HUY T.

Filed

August 2, 2001

**Group Art Unit::** 

2616

For

APPARATUS FOR GENERATING CONTENT CODES

FOR AUDIOVISUAL PROGRAMS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **CERTIFICATE OF MAILING (37 C.F.R. 1.8a)**

I hereby certify that the attached:

- 1. Information Disclosure Statement (2 pages);
- 2. Form PTO/SB/08B (1 page);
- 3. Check in the amount of \$180.00 to cover fee under 1.17(p); and
- 4. Return Receipt Postcard.

(Along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date indicated below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted GOTTLIEB, RACKMAN & REISMAN, P.C.

Dated: 08-23.200G

Zoya V. Chernina

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### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure under 37 CFR §§ 1.56, 1.97. and 1.98, applicants submit herewith a Supplemental Information Disclosure Statement (IDS) accompanied by the Form PTO-1449 with the prior art references listed therein.

Since the Patent Office has waved the requirement under 37 CFR § 1.98(a)(2)(I) for submitting a paper copy of each cited US Patents and US Patent Application Publication enclosed herewith are only the copies of non-US Patent documents referenced in this IDS.

Further, because all the documents are in the English language, no concise explanation of their relevancy is necessary, as pointed out in 37 CFR §1.98 (3)(i).

Docket No. 3053-040

Inasmuch as the subject application has not yet received a Notice of Allowance under § 1.311, this information is believed to be timely filed under 37 C.F.R. 1.97(c). Accordingly, consideration of the listed references is deemed proper, and therefore respectfully requested.

A check in the amount of \$ 180.00 as set forth in § 1.17(p) to cover a filing fee is enclosed with this Statement . If any additional fee is required, authorization is hereby given to charge the amount of any such fee to the Deposit Account No.07-1730, Docket No. 4611-040. A duplicate copy of this page is attached for that purpose.

Respectfully submitted GOTTLIEB, RACKMAN & REISMAN, P.C.

New York, N.Y.

Dated: #/wo

Tiberiu Weisz

Attorney for applicant Registration No. 29,876

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PTO/SB/08A (07-05)
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Substitute for form 1449/PTO

Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	09/921,044			
Filing Date	August 2, 2001			
First Named Inventor	Lewis S. Ostrover et al.			
Art Unit	2621			
Examiner Name	NGUYEN, HUY THANH			
Attorney Docket Number	3053-040			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 3,947,669	03.30.1976	SIMMONS ET AL.	
		3,947,003			
		<sup>US-</sup> 4,489,316	12.18.1984	MACQUIVEY	
		<sup>US-</sup> 5,758,026	05.26.1998	LOBLEY ET AL.	
		<sup>US-</sup> 5,950,172	09.07.1999	KLINGMAN	
		US-			
		US-	,		
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		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	'
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.